

# **HAMILTON, BROOK, SMITH & REYNOLDS, P.C.**

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</i>		Attorney Docket No.	3336.1017-001		
		First Named Inventor or Application Identifier	Foster D. Hinshaw		
		Express Mail Label No.	EV 052031241 US		
<b>Title of Invention</b> <b>CONTROLLING VISIBILITY IN MULTI-VERSION DATABASE SYSTEMS</b>					
<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>		<b>ADDRESS TO:</b> <b>Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</b>			
<b>1. [ ] Fee Transmittal Form</b> <i>(Submit an original, and a duplicate for fee processing)</i>		<b>6. [ ] Nucleotide and/or Amino Acid Sequence Submission</b> <i>(if applicable, all necessary)</i>			
<b>2. [X] Specification</b> <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>		<b>a. [ ] Computer Readable Form</b> <b>b. [ ] Paper Copy (identical to computer copy)</b> <b>[ ] Pages</b> <b>c. [ ] Statements verifying identity of above copies</b>			
<b>3. [X] Drawing(s) (35 U.S.C. 113)</b> <b>Total Sheets [ 22 ]</b> <b>[ X ] Fig. of the Drawings for Publication [ 9 ]</b> <b>[ ] No Figure to be Published</b>		<b>ACCOMPANYING APPLICATION PARTS</b>			
<b>4. [ ] Oath or Declaration</b> <b>Total Pages [ ]</b> <ul style="list-style-type: none"> <li>a. [ ] Newly executed (original or copy)</li> <li>b. [ ] Copy from a prior application (37 C.F.R. 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> <ul style="list-style-type: none"> <li>i. [ ] <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ul>		<b>7. [ ] Assignment Papers (cover sheet &amp; documents)</b> <b>[ X ] Assignee - Netezza Corporation</b> <i>Framingham, Massachusetts 01702</i>			
<b>5. [ ] CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</b>		<b>8. [ ] Power of Attorney [ ] 37 C.F.R. 3.73(b) Statement</b> <b>9. [ ] English Translation Document <i>(if applicable)</i></b> <b>10. [ ] Information Disclosure Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations</b> <b>11. [ ] Preliminary Amendment</b> <b>12. [X] Return Receipt Postcard</b> <b>13. [ ] Small Entity Statement(s)</b> <b>14a. [ ] Foreign Priority Claim under 35 U.S.C. §119 or 365</b> <b>14b. [ ] Certified Copy of Priority Document(s)</b> <b>15. [ ] Nonpublication Request <i>(check parent application)</i></b> <b>16. [ ] Other _____</b>			
<b>17. If a CONTINUING APPLICATION, check appropriate box; supply the requisite information.</b>					
<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)    of prior application No.:					
Prior application information:    Examiner:    Group Art Unit:					
<i>The entire disclosure of the prior application is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference.</i> <i>(Add standard Related Applications section with incorporation by reference to specification or update same)</i>					
<b>18. CORRESPONDENCE ADDRESS</b>					
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Signature		Date	8/22/03
Submitted by Typed or Printed Name	David J. Thibodeau, Jr.	Reg. Number	31,671

JAN 20 2004

<b>FEES TRANSMITTAL FOR PATENT APPLICATIONS</b>	Attorney Docket Number	3336.1017-001
	Application Number	10/646,522
	First Named Inventor	Foster D. Hinshaw

## CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	<b>TOTAL CLAIMS (37 CFR 1.16(c) or (i))</b>	49 - 20* =	29	x \$ 18 =	\$ 522
	<b>INDEPENDENT CLAIMS (37 CFR 1.16(b) or (ii))</b>	3 - 3** =	0	x \$ 86 =	\$ 0
	<b>MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))</b>			+ \$ 290 =	\$
				<b>BASIC FEE (37 CFR 1.16(e) or (h))</b>	\$ 770
				<b>Total of above Calculations =</b>	\$ 1292
				<b>Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =</b>	\$
				<b>TOTAL =</b>	\$ 1292
				<b>Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =</b>	\$ 130
				<b>Petition for Extension of Time Fee (37 C.F.R. 1.17) =</b>	\$
				<b>Assignment Recordation Fee = (only when filed with application)</b>	\$
				<b>[Other]</b>	\$
	<b>* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent</b>			<b>TOTAL =</b>	\$ 1422

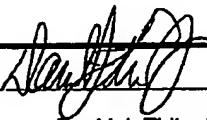
## 1. Small entity status:

- a.  A small entity statement is enclosed.
- b.  A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c.  Is no longer claimed.

2.  A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3.  A check is enclosed for \$1422.00.  Please charge \$[ ] to Deposit Account No. 08-0380.

4.  Other: \_\_\_\_\_

Signature		Date	1/15/04
Submitted by Typed or Printed Name	David J. Thibodeau, Jr.	Reg. Number	31,671